

#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue patent application of:

LIN

Serial No. 09/084,441

Filed: May 27, 1998

Title: OPHTHALMIC SURGERY METHOD USING
NON-CONTACT SCANNING LASER

Group Art Unit: 3739

Examiner: Michael Peffley

Client Reference: LIN

Attorney Docket: 62-575

TECHNOLOGY CENTER 3700

SEP - 8 2000

RECEIVED

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

SUPPLEMENTAL AMENDMENT

Dear Sir:

Supplemental to the Amendment filed on July 5, 2000, the Applicant respectfully requests that the following further remarks be entered in the subject application.

REMARKS

Claims 1-24, 26, 28-30, 32, 35-41, 43-49, 53-55, 57-60, 63-72, 75, 76, 78, 80-83, 85, 87-91, 93-95, 97, 98, 105 and 106 remain pending in the application.

The Applicant thanks the Examiner for the indication in a telephone call that no issues remain in the present application, with the exception of a pending U.S. application to Lai, the subject matter of which is represented by a published copy of a corresponding PCT application.

In particular, the Examiner has indicated a concern with respect to U.S. Appl. No. 07/788,424 ("Lai Appl."), corresponding to PCT/US92/09625 ("Lai PCT Publication"), although as the Examiner agrees this U.S. Appl. is not prior art against the present application until/unless it issues.

Even if the Lai application issues as a U.S. patent, all pending claims of the present invention are quite distinguishable therefrom for multiple reasons already articulated in the July 5, 2000 Amendment with respect to earlier cited prior art. The Lai Application teaches the use of a high-powered (i.e., 150 mJ/cm²) fundamentally infrared laser, not a low powered fundamentally ultraviolet laser as recited by all Reissue claims of the present application. While Lai teaches the use of non-overlapping ablation pulses, all claims of the present invention claim a **significant overlap** of ablation pulses.

Particular details of the Patented claims 1-23 and the pending Reissue claims are discussed herein in more detail with respect to the Lai U.S. Application (as presumed to correspond to the subject matter disclosed in the A1 publication of PCT/US92/09625.

Delivery of an ablating laser beam in a predetermined overlapping pattern

All pending claims recite scanning a laser beam in either a **significant** or **predetermined overlapping pattern** of ablation pulses.

In contrast, the Lai Application teaches that there are problems associated with overlapping the laser pulses of any one layer, and concludes that these problems are solved with his invention by not overlapping the laser pulses of any one layer. (Lai PCT Publication, page 47, lines 28-29)

As further evidence, Lai's Fig. 7A shows a preferred ablation pattern whereby ablation pulses in any layer are not overlapped. "A characteristic of the first level pattern shown in Figure 7A is that no circular etch substantially overlaps any other circular etch." (Lai PCT Publication, page 49, lines 28-29) Lai indicates that "[w]hile it is preferred that the etch circles be non-overlapping and contiguous, [Lai's] invention encompasses slight overlapping and/or spacing of etch circles due to tolerance". (Lai PCT Publication, page 48, lines 22-25)

Clearly Lai teaches *away from* any **significant** or **predetermined overlapping** of ablation pulses as claimed by all pending claims.

Fundamentally **ultraviolet** laser

All Reissue claims of the present invention recite a fundamentally **ultraviolet** laser. The Lai Application teaches a fundamentally **infrared** laser, thus teaching away from the use of a fundamentally **ultraviolet** laser.

For instance, the Lai application teaches that “problems . . . occur with some of the prior art systems result[ing] from the use of toxic gases as the lasing material.” The Lai application continues to teach away from the use of excimer ultraviolet lasers by indicating that there are particular *problems* with “excimer lasers, which are frequently used in health clinic and hospital environments.” (Lai PCT Publication, page 6, lines 9-12). In fact, the Lai application goes on to declare that it “resolves the shortcomings of the current corneal surgical systems, including the use of toxic gases” (i.e., ultraviolet excimer lasers). (See Lai PCT Publication, page 7, lines 15-21)

The Lai application identifies **infrared** laser source embodiments, including a Ti-doped Al_2O_3 solid state laser converted to an ultraviolet range of 198-215 nm. (See Lai PCT Publication, page 13, lines 3-11; page 33, lines 27-29) The Lai application explicitly refers the reader to his U.S. Appl. No. 07/740,004 filed August 2, 1991 (now US Pat. No. 5,280,491) entitled “Two Dimensional Scan Amplifier Laser”, which also discloses a fundamentally **infrared** laser. (See Lai PCT Publication, page 13, lines 13-18; page 16, lines 16-20; and page 17, lines 25-29) Lai indicates that this patent describes the laser used in his preferred embodiment. (Lai, PCT Publication, page 33, lines 13-17).

The preferred laser wavelength for Lai’s “initial” laser beam is clearly **infrared** at 790-860 nm (Lai, PCT Publication, page 34, lines 10-11). This initial beam is converted in a first wavelength converter 108 by nonlinear mixing to a second laser beam having a wavelength in the range of 395-430 nm, and passed through a second wavelength converter to a third laser beam having a wavelength in the range of 198-215 nm. (Lai, PCT Publication, page 34, lines 11-23) Lai states that his system includes at least one wavelength converter to alter the wavelength of the initial laser beam to the desired

wavelength in the range of 198-215 nm. (Lai, PCT Publication, page 56, lines 15-18, et seq.) Lai's laser has a "fundamental laser wavelength" in a range of 790-860nm (Lai, PCT Publication, page 57, lines 5-10)

Moreover, Lai declares that it is an object of his invention to construct an (infrared) Ti:Al₂O₃ laser with a high average laser power "in the range of several watts or higher" and a high conversion efficiency in the second harmonic laser wavelength (Lai PCT Publication, page 18, lines 27-30; page 19, lines 5-8).

Lai fails to disclose, teach or suggest a fundamentally **ultraviolet** laser, as recited by all Reissue claims of the present invention.

Ablation threshold

Claims 1-24, 26-30, 32, 35-41, 43-49, 53-55, 57-60, 63-68, 76, 78-85, 87-98, 105-106 recite an energy level of no greater than 10 mJ/pulse.

The Lai U.S. Appl. considers an ablation threshold of 10 mJ/cm², but indicates that using his invention a laser having a fundamental laser output of at least 150 mJ/cm² is required. See, e.g., Lai's teaching that "[f]or reliable ablation results, a current commercial excimer laser corneal surgery system operates at about 150-200 mJ/cm²." (Lai PCT Publication, page 7, lines 1-2) See also, e.g., Lai's PCT Publication at page 30 where Lai teaches that an even higher output energy range (150-250 mJ/cm²) is required for cornea ablation, and that "no ablative action can be observed at a laser energy density below 50 mJ/cm²." (Lai, PCT Publication, page 30, lines 24-29)

Thus, the Lai application teaches the use of an initial high energy laser beam of at least 150 mJ/cm², and "regulates" that initial laser beam through wavelength converter(s) by attenuating a harmonic frequency of the laser pulses to ultimately achieve an ablation energy density of 10 mJ/cm² on the eye. (Lai PCT Publication, page 33, lines 4-6)

The Lai application fails to disclose, teach or suggest an energy level of no

greater than 10 mJ/pulse as recited by claims 1-24, 26-30, 32, 35-41, 43-49, 53-55, 57-60, 63-68, 76, 78-85, 87-98, 105-106.

*Selection of a scanning mechanism including a **galvanometer scanning mechanism***

Patented claims 1-23 recite the selection of a scanning mechanism including a galvanometer scanning mechanism.

The Lai application teaches *away from* the use of a galvanometer scanning mechanism. For instance, the Lai application admonishes the use of galvanometer mirror scanners because “the mechanical response due to the balance of the coil and the applied magnetic field is limited to a few hundred hertz” and because the “settling time and oscillation about the equilibrium point further limits the accuracy attainable with such devices.” (See Lai PCT Publication, page 9, lines 19-23) The Lai application further teaches away from the use of a galvanometer scanning mechanism by pointing out that because two galvanometer units must be used to provide two-dimensional scanning, costs and space requirements are doubled. (See Lai PCT Publication, page 10, lines 9-13).

Rather than galvanometers having all the alleged problems discussed by Lai, Lai declares that his preferred embodiment consists of a pair of scanning mirrors driven in tandem by piezo actuators. (Lai PCT Publication, page 19, lines 18-20) Lai accomplishes this piezo-driven scanning within the laser cavity. According to Lai, the “laser beam bounces between the scanning mirrors repeatedly for one or more round trips of the beam inside the cavity to amplify and precisely direct the beam angle before exiting mirror 34.” (Lai PCT Publication, page 77, lines 9-12)

According to the present invention, scanning is accomplished with, e.g., galvanometers positioned outside the laser cavity, in the beam delivery path after the laser beam has left the laser cavity.

Lai’s piezo-driven scanning mirrors are not galvanometers as claimed by claims 1-23. Accordingly, patented claims 1-23 are patentable over the prior art of record.

Conclusion

For at least all the above reasons, all pending claims of the present application are distinguishable from the disclosure and teachings of the Lai U.S. Application as represented by the published corresponding PCT application.

The Applicants respectfully request a formal indication that the Examiner has considered the Lai U.S. patent application on its merits.

All rejections having been addressed, it is respectfully submitted that the present application is in condition for allowance and an early Notice to that effect of this Reissue is earnestly solicited.

Respectfully submitted,

Date:

Sept. 8, 2000

William H. Bollman

William H. Bollman
Reg. No. 36,457

Farkas & Manelli PLLC
2000 M Street, N.W.
Suite 700
Washington, D.C. 20036-3307
TEL: (202) 261-1020
FAX: (202) 887-0336

RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: 09/084,441	Atty: William H. Bollman
First Inventor: LIN	Date: September 8, 2000
	Docket No: 62-575

ENCLOSED:

☒ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed

☐ Completion Request for R 53(d)/60(d)/62(d)/PCT Nat.

☐ No. of Pages Abstract

☐ No. of Pages Spec and Claims

☐ No. of Numbered Claims Only

☐ No. of Sheets of Drawings in Set

☐ 1 Set

☐ 1 Set

☐ Cover Letter

☐ Declaration ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition

☐ No. of Priority Documents

☐ IDS including PTO-1449

☐ Cited

☐ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ Fee (Check)

OTHER:

Current DUE DATE: **none**

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09/084,441, 09/084,441

TRANSMITTAL LETTER (Small Entity)

Application Number: 09/084,441

Group Art Unit: 3739

Filed: May 27, 1998

Examiner Name: Michael Peffley

Applicant: LIN

Attorney Docket Number: 62-575

TITLE: OPTHALMIC SURGERY METHOD USING NON-CONTACT SCANNING LASER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is a Supplemental Amendment in the above-identified application.

Small entity status of this application has been established under 37 C.F.R. 1.27 by a verified statement previously submitted.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER Amendment	HIGHEST # PREV. PAID FOR	#OF EXTRA CLAIMS	RATE	ADDITIONAL FEE
Total Claims	80 -	106	0	x \$9 =	\$0
Independent Claims	10 -	10	0	x \$39 =	\$0
Multiple Dependent Claim(s), if applicable					\$0
TOTAL ADDITIONAL FEE:					\$0

No fee is believed to be due. However, should a fee be assessed, the commissioner is **hereby authorized to charge** any fees required under 37 C.F.R. 1.16 or any patent application processing fees under 37 C.F.R. 1.17 associated with this communication, or to credit any overpayment to **Deposit Account No. 50-0687 under order No. 62-575.**

Respectfully submitted,



William H. Bollman

Reg. No.: 36,457

Attorney for Applicant(s)

Date: September 8, 2000
Farkas & Manelli, PLLC
2000 M Street, N.W. Suite 700
Washington, DC 20036-3307
Tel. (202) 261-1020
Fax. (202) 887-0336RECEIVED
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER (Small Entity)

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Group Art Unit: **3739**

Filed: **May 27, 1998**

Examiner Name: **Michael Peffley**

Applicant: **LIN**

Attorney Docket Number: **62-575**

TITLE: **OPHTHALMIC SURGERY METHOD USING NON-CONTACT SCANNING LASER**

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

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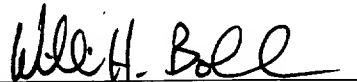
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Respectfully submitted,



William H. Bollman

Reg. No.: 36,457

Attorney for Applicant(s)

Date: September 8, 2000
Farkas & Manelli, PLLC
2000 M Street, N.W. Suite 700
Washington, DC 20036-3307
Tel. (202) 261-1020
Fax. (202) 887-0336